Land surface climate analysis – along the rainfall-runoff chain –

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1. Introduction

from models to toys

- 2. Water Supply and Demand *minimalist models*
- 3. System Analysis

equilibria & trajectories, attribution & causality

4. Outlook

criticality, sustainability etc

1. Introduction

from models to toys



Model Complexity from models to toys statistic deterministic Weather Generators General Circulation **Global Climate** for regional climates Models (GCMs) Models (GCMs) **Processes in** PLASIM-GENIE Daily meteorological data Prescribed tren Blockwise e.g. Feb. 2 1977 Feb. 13 1977 Input comprehensive GCMs for the annual mean temperatures Wind stress Clusteranalysis of blocks ICE PLASIM surface fluxes 1) Rearrangement o entire years from the training series **ATMOSPHERE** SURFLUX Step 1 Humidity Ice temperature & albedo dICE/dt (Sea ice Stratiform 2a) Identify blocks adjusted) which are to be re-placed (Clusteranalysis) GOLDSTEIN Flow E/M SLABI SEA ICE fluxes Ice Internal exaggeration dFW/dt of the prescribed fraction & 2b) Replace blocks tep 2 temperature trend by appropriate Sensible heat ENTS thickness Snow GOLDSTEIN SLABO Temperature trend OCEAN SST matched PLASIM-ENTS Outpu Planet Simulator Statistical regional PlaSim – Genie climate model (STAR) (PlaSim)

Zhu, X., KF, and W. Wang, 2013: Future climate in the Tibetan Plateau from a Statistical Regional Climate Model. J. Climate 24, 10125-10138.

KF., H. Jansen, E. Kirk, U. Luksch, and F. Lunkeit, 2005: The Planet Simulator: Towards a user friendly model. Meteorol. Zeitschrift, 14, 299-304

KF., 2012: A suite of user-friendly global climate models: Hysteresis experiments. Eur. Phys. J. Plus, 127, doi: 10.1140/epjp/i2012-12053-7

Holden, P.B., N.R. Edwards, KF, E. Kirk, F. Lunkeit, and X. Zhun 2016: PLASIM-GENIE: a new intermediate complexity AOGCM. Geosci. Model Dev. 9, 3347-3361.

Holden, P.B., N.R. Edwards, P.H. Garthwaite, K. Fraedrich, F. Lunkeit, E. Kirk, M. Labriet, A. Kanudia, and F. Babonneau, 2014: PLASIM-ENTSem: a spatio-temporal emulator of future climate change for impacts assessment. Geosci. Model Dev. 7, 433-451

Holden, P.G., N. R. Edwards, A. Ridgwell, R. D. Wilkinson, K. Fraedrich, F. Lunkeit, H. E. Pollitt, J.-F. Mercure, P. Salas, A. Lam, F. Knobloch, U. Chewpreecha and J.E. Viñuales 2018: Climate-carbon cycle uncertainties and the Paris Agreement. *Nature Climate Change*, 8, 609–613

Simulation (time series) atmos. water content abrupt into a snowball Earth: $CO_2 \& O_3 = 0$



Observation (time series)

local rainfall



Hannachi, A., 2012: Intermittency, autoregression and censoring: a first-order AR model for daily precipitation. Meteorol. Appl.

Jennings' rain (1955)

Linacre's potential evapotranspiration (1977)

Schreiber's run-off (1904)

State spaces (Budyko frame work)

KF., F. Sielmann, D. Cai, X. Zhu 2016: Climate dynamics on watershed scale: along the rainfall-runoff chain. In: The Fluid Dynamics of Climate, Intern. Centre for Mech. Sci. (CISM), Springer, 183-209

from Supply to Demand



Demand: Pot. Evapotransp. N

minimalists' toys geogr. space

Scaling & Jennings' (1950) law

- a censored AR(1) – Intermittency

Linacre (1977), Abtew (1996) - max entropy production (*MEP*)

iii) Rainfall – Runoff Chain

70 80

-radiation intensity (Q_s): cal/cm².s



50 60

30 40

grass (Q_n): cal/cm²

intensity 0

0⁴x net-radiation

soli 30

$$Ro = P exp(-N/P)$$

 $N = \frac{1}{2}$ Solar

Rainfall *P* and Net radiation *N* Schreiber's (1904) formula - a biased coin-flip Ansatz -

i) Water supply...

world's greatest point rainfall

and Jennings' (1950) scaling law

MONTHLY WEATHER REVIEW

WORLD'S GREATEST OBSERVED POINT RAINFALLS

ARTHUR H. JENNINGS



GCMs (sim.) Greatest point rainfall vs. time duration land, ocean, and world wide observed



Water supply Jennings scaling law – present / warmer future

warmer climates: rainfall extremes become more severe at shorter duration time scales



Water supply

... a minimalist model



Toy model	censored AR(1)
nterpretation:	vertical water flux rainfall-efficiency = 1 Data: daily 850hPa, ERA, Fangcheng

$$m(t) - M = a (m(t-1) - M) + \varepsilon$$

vertical water flux *m* mean *M* time *t* autocorrelation a = 0.31memory (integral time scale) 1/(1-a)random noise ε

Water supply truncated AR(1): $m(t) = a \cdot m(t-1) + r$

Gaussian noise with zero mean and unit variance



Zhang, H., K. Fraedrich, R. Blender, and X. Zhu 2013: Precipitation extremes in CMIP5 simulations on different time scales. *J. Hydromet.* 14, 923-928 Zhang, H., K. Fraedrich, X. Zhu, and R. Blender 2013: World's greatest observed point rainfalls: Jennings (1950) scaling law. *J. Hydromet.* 14, 1952-1957

ii) Water demand N

Linacre: Net & solar radiation with cloudiness extremes: Dashed: intermediate relationship assumed applicable generally.



Abtew W. 1996. Evapotranspiration measurement and modeling for three wetland systems in South Florida. Water Resources Bulletin 32, 465-473. Linacre E.T. 1977. A simple formula for estimating evaporation rates in various climates, using temperature data alone. Agricultural Meteor. 18: 409–424

Water demand N

... and on continental scale

<1/2 Solar>

TABLE ID. Surface components of the annual mean energy budget for the globe, global land, and global ocean, except for atmospheric solar radiation absorbed (Solar absorb, left column), for the ERBE period of Feb 1985 to Apr 1989 (W m⁻²). Included are the solar absorbed at the surface (Solar down), reflected solar at the surface (Solar reflect), surface latent heat from evaporation (LH evaporation), sensible heat (SH), LW radiation up at the surface (Radiation up), LW downward radiation to the surface (Back radiation), net LW (Net LW), and net energy absorbed at the surface (NET down). HOAPS version 3 covers 80°S-80°N and is for 1988 to 2005. The ISCCP-FD is combined with HOAPS to provide a NET value.

Global	Solar absorb	Solar down	Solar reflect	LH evaporation	SH	Radiation up	Back radiation	Net LW	NET down	
КТ97	67	168	24	78	24	390	324	66	0	
ISCCP-FD	70.9	164.9	24.0	-	-	395.9	344.8	51.1	-	
NRA	64.4	161.9	45.2	80.2	15.3	395.5	334.1	61.5	4.9	
ERA-40	80.7	155.8	23.1	82.3	15.3	394.8	340.3	54.4	3.8	
JRA	75.0	168.9	25.6	85.1	18.8	395.6	324.3	71.3	-6.3	
Land				·	•			•		
ISCCP-FD	69.9	147.2	42.9	-	-	377.8	318.7	57.5	-	<net rad=""> ≈</net>
NRA	59.1	155.2	68.9	52.0	27.1	369.7	295.9	73.8	2.3	
ERA-40	86.0	<mark>134.3</mark>	42.9	<mark>40.9</mark>	<mark>25.8</mark>	370.3	304.9	<mark>65.3</mark>	2.3	<1/2 Solar
JRA	72.2	154.9	51.5	39.5	27.3	372.7	286.7	86.0	2.1	
Ocean										
ISCCP-FD	71.4	171.5	17.0	-	-	402.7	354.5	48.2	10.4	
NRA	66.3	164.3	36.7	90.3	11.0	404.9	347.9	57.0	6.0	
ERA-40	78.8	163.5	15.9	97.3	11.5	403.6	353.1	50.5	4.2	
JRA	76.0	173.9	16.2	101.5	15.8	403.9	337.9	66.0	-9.4	

Trenberth K.E., J. T. Fasullo, and J. Kiehl 2009: Earth's global energy budget, Bull. American. Meteor. Soc. 90, 311-323



Renner M., S.K. Hassler, T. Blume, M. Weiler, A. Hildebrandt, M. Guderle, S. J. Schymanski, and A. Kleidon 2016: Dominant controls of transpiration along a hillslope transect inferred from ecohydrological measurements and thermodynamic limits. Hydrol. Earth Syst. Sci., 20, 2063–2083

iii) Water supply P & demand N

catchment scale Schreiber's (1904) equation

METEOROLOGISCHE ZEITSCHRIFT. OKTOBER 1904. Über die Beziehungen zwischen dem Niederschlag und der Wasserführung der Flüsse in Mitteleuropa. Von Dr. Paul Schreiber.

empirical
$$\mathbf{x} = \mathbf{x} \cdot \mathbf{e}^{-\mathbf{x}}$$
jährliche Abflußhöhe y
Niederschlagshöhe xminimalis
t model $\mathbf{Ro} = \mathbf{P} \exp(-\mathbf{N}/\mathbf{P})$ Supply P
net rad (pot.
evapot)Loss Ro

D = N/P = dryness

theor. underpinning

'ideal rainfall-runoff chain' Supply & demand blue – green water supply P daily biased coinflip 'dry 'wet' *from* a *biased* coinflip stochastic model to an equation of state (P, N, Ro) exp. density 0.9 $f(x;\lambda) = \begin{cases} \lambda e^{-\lambda x}, & x \ge 0, \\ 0, & x < 0. \end{cases}$ 0.8 0.7 0.6 0.5 'upper world' 0.4 *random* atmosphere 0.3 $p_i > N$ 0.2forcing 'bias' fast 'bias'-sphere start demand N net rad ~ pot. evap. 'under world' slow soil end waste Ro response climate mean runoff runoff 2 time scales: fast t = > 0Ro = P exp(-N/P)slow t => ∞ Schreiber 1904: eq. of state Dryness = N/P

KF. 2010: A parsimonious stochastic water reservoir: Schreiber's 1904 equation. J. Hydrometeorology, 11, 575-578

'ideal rainfall-runoff chain'

quantitative

random atmosphere	water supply water demand	exponentially distributed daily rainfall p_k $prob(p_k \le p^*) = 1 - exp(-p^*/P)$ biased coin-flip daily rainfall threshold $p^* = N$
fast	intorcontion	water in transit: chart residence ta 1day
biosphere	capacity	~ water equivalent of net radiation $n_k \sim N$
-		
slow soil	no supply => $ro_k = 0$	$q_0 = prob(p_k \le N) = 1 - exp(-N/P)$ evapo $e_k = p_k$, sens. heat $h_k = N - e_k$
	supply => $ro_k = p_k - e_k$	$q_1 = prob(p_k > N) = exp(-N/P)$ evapo $e_k = n_k = N$
	Supply $p_k > N$	<i>minus</i> demand $n_{\nu} = N$
Ro =	$_N \int_{\infty}^{\infty} p_k \exp(-p_k/P) dp_k/P$	minus $_{N} \int N exp(-p_{k}/P) p_{k}/P$
	(P + N) exp(-N/P)	minus N exp(-N/P)

'ideal rainfall-runoff chain'

eq. of state

water balance
$$0 = P - (E + Ro)$$
energy balance $0 = N - (E + H)$ eq. of state $Ro = P \exp(-N/P)$ $D = N/P$ $dryness$

1st,2nd moment, predictab., sensitivity

KF, Sielmann, Cai, Zhang, Zhu 2015: Validation... Water Res. Manag. 29, 313 KF, Sielmann 2011: An equation of state ... Int. J. Bifurc. Chaos 21, 3577

... and eco-hydrological state spaces

validation

equilibrium

plus

eco-hydrological state spaces



fluxes

(N,D) - space

N: pole-equator meridionality (vertical axis) D: dryness (aridity): geo-botanic climate state Budyko, M. I. (1974): Climate and Life, 508 pp

Application: embedding vegetation



(E,H) - space

N = E + H = const: zonality latent & sensible heat flux: E & H

Stephenson, NL (1998): J Biogeogr. 25, 885-870

Application: embedding animals

an analogy...

thermodynamic diagram

Equation of state

plus Energy balance Moisture balance

CAPE: energy available for conv. overturning



rainfall runoff chain			
special trajectories	equilibrium	finite trajectories	criticality
dynamic model	ideal rainfall-runoff chain	attribution of change	index model
state space diagnostics	validation	external-internal causes	life systems
	Vegetation Greenness Species Richnes	Humans - Urbanization	Conclusion tan Plateau Nighttime Light

3. System Analysis

equilibria and trajectories

(i) Equilibria

and state space coordinates Vegetation – Greenness – NDVI Vertebrates – Species Richness

(ii) Trajectories

attribution of change (internal – external)Tibetan Plateau& Qinghai LakeSouth-America& Lake Titicaca

Humans

& Urbanization – Nighttime Light

Cai, D., K. Fraedrich, Y. Guan, S. Guo, C. Zhang, X. Zhu 2019: Urbanization and climate change: Insights from eco-hydrological diagnostics. Science of the Total Environment (STOTEN) 647, 29-36 Zhang, X.X., S. Guo, Y. Guan, D. Cai, C. Zhang, K. Fraedrich, X. Han, Z. Z. Tian 2019: Urbanization and spillover effect for three megaregions in China: Evidence from DMSP/OLS nighttime lights. Remote Sensing 11,

Cai, D., Fraedrich, K., Guan, Y., Guo, S., Zhang, C. (2017): Urbanization and the thermal environment of Chinese and US-American cities. Science of the Total Environment (STOTEN), 589, 200–211 Cai, D., K. Fraedrich, F. Sielmann, Y. Guan, S. Guo 2016: Land cover characterization and aridity changes of S. America (1982 to 2006): An attribution by eco-hydrological diagnostics. J. Climate 29, 8175-8189.

Cai, D, K. Fraedrich, F. Sielmann, L. Zhang, X. Zhu, S. Guo, Y. Guan, 2015: Vegetation dynamics on the Tibetan Plateau (1982 to 2006): An attribution by eco-hydrological diagnostics, J. Climate 28, 4576-4584.

Cai, D., K. Fraedrich, F. Sielmann, Y. Guan, S. Guo, L. Zhang, X. Zhu 2014: Climate and vegetation: An ERA-1 Interim and GIMMS NDVI Analysis, J. Climate 27, 5111-5118.

KF., F. Sielmann, D. Cai, X. Zhu 2016: Climate dynamics on watershed scale: along the rainfall-runoff chain. In: The Fluid Dynamics of Climate, Intern. Centre for Mech. Sci. (CISM), Springer, 183-209





eco-hydrological state spaces



(U, W) – state space

flux ratios

- W = Ro/P runoff (water excess)
- U = H/N energy excess
- D = N/P dryness

Application: Attribution of change

External	Internal
Decrease in excess water W	Increase in excess water W
Increase in excess energy U	and in excess energy U
Climate change: D=N/P increase	Removal of perennials Conservation tillage Deforestation
 Internal	External
Internal Decrease in excess water W	External
 Internal Decrease in excess water W and in excess energy U	External Increase in excess water W Decrease in excess energy U

Attribution of change

Causes of change

external: climate induced change of flux input *N* & *P*

natural variability, climate change

versus

internal: basin induced change of flux partitioning

E & N land use, irrigation, grazing E & Ro water recycling regional/local human activity

Qualitative arguments

Tomer, M, Schilling, K. 2009: A simple approach to distinguish land-use and climate-change effects on watershed hydrology, J. Hydrol., 376, 24–33.

Separation – Ansatz

separation possible in (U,W)-space



27



Gedanken Experiment with U = H/N = 1 – E/N; W = Ro/P = 1 – E/P Internal ('human') affecting evaporation E (but not P or N) Change water-excess energy-excess dU = - dE/NdW = -dE/Pfor example: dU > 0dW > 0 (dE < 0)fix external N,P $dW < 0 \ (dE > 0)$ dU < 0but change E: dE in (U,W)-diagram changes along main-diagonal

$Attribution-2 \ {}_{\rm cont'd}$

(U, W) – state space

$$\begin{bmatrix} dW \\ dU \end{bmatrix} = \begin{bmatrix} W_2 \\ U_2 \end{bmatrix} - \begin{bmatrix} W_1 \\ U_1 \end{bmatrix} = \begin{bmatrix} W_2 - W_1 \\ U_2 - U_1 \end{bmatrix}$$
(1)

$$D = N/P = (I - W) / (I - U)$$
(2)

$$S = E/N = I - U$$
(3)

$$\begin{bmatrix} dW' \\ dU' \end{bmatrix} = \begin{bmatrix} \cos(\theta - 45^\circ) & \sin(\theta - 45^\circ) \\ -\sin(\theta - 45^\circ) & \cos(\theta - 45^\circ) \end{bmatrix} \times \begin{bmatrix} W_2 - W_1 \\ U_2 - U_1 \end{bmatrix}$$
(4)

$$\begin{bmatrix} CT \\ AT \end{bmatrix} = \begin{bmatrix} \cos(\theta - 90^\circ) & \sin(\theta - 90^\circ) \\ -\sin(\theta - 90^\circ) & \cos(\theta - 90^\circ) \end{bmatrix} \times \begin{bmatrix} W_2 - W_1 \\ U_2 - U_1 \end{bmatrix}$$
(5)

$$\tan\theta = 1/D, \theta \in [0,90^\circ], \text{ when } D = 1, \theta = 45^\circ$$
(6)

$$\begin{bmatrix} dW'_1 \\ dW'_2 \\ dU'_1 \\ dU'_2 \end{bmatrix} = \begin{bmatrix} dW' \\ dU' \\ 0 \end{bmatrix} \times \begin{bmatrix} \sin45^\circ & \cos45^\circ & 0 & 0 \\ 0 & \sin45^\circ & \cos45^\circ \end{bmatrix}$$
(6)

time-period selection Updated trends: Temp & SPI & NDVI

selection of climate periods 1973 – 1993 1994 – 2008



Bordi, I., KF, A. Sutera, 2009: Observed drought and wetness trends in Europe: an update. Hydrology and Earth System Sciences 13, 1519-1530

U(lat,long), W(lat,long)

=> (U,W)-scatter plot



add vegetation (NDVI-greenness)

.... as 'passive tracer' in physical state space

at gridpoints

from 2 variables (U,W)-pairs

to 3 variables (U, W, NDVI)-triplets



Period-1: 1982 - 1993

=>(U, W, NDVI)

coupling biotic & abiotic processes



(U,W) and (NDVI) climates

(U,W) - state space

Period-1: 1982 - 1993



Attribution of change d(NDVI) > 0

Tibet-only trajectories

d(NDVI) < 0



Attribution of change (on ERA-scale)



1. external causes (dark blue):

Mostly wet tendencies controlled by dynamics

2. internal (light blue): population density increase 1990 - 2000 by ½ million to 2 616 300

3. NDVI changes

Increasing excess water dW > 0 => d(NDVI) > 0 melting ice/permafrost => moisture supply Decreasing excess water dW < 0 => d(NDVI) < 0 Steppe: Cona, Shannan, Medog, Nyingcin



Himalaya: (pink) & ground heatflux G = 0
BUT: d(NDVI) > 0: vegetation increase
=> ground flux reduced (G1 > G2)
=> semidesert excess energy U2 reduced
U1 = H/(N - G1) > U2 = H/(N - G2)
=> Himalaya external (dark blue & not³⁶pink')



Attribution of change

NDVI-scale pixels

downscaling



Cai, D., KF, F. Sielmann, L. Zhang, X. Zhu, S. Guo, Y. Guan 2015: Vegetation Dynamics on the Tibetan Plateau (1982-2006): An attribution by eco-hydrological diagnostics, J. Climate 28, 4576-4584

Climate change and human activities Impact on land surface dynamics: Tibetan Plateau

Attribution Analysis: First and second periods (1982 – 1993 and 1994 – 2006, ERA-Interim)

State space climates: bimodal distribution with two distinct geobotanic regimes (semidesert and Steppe) of low and moderate vegetation-greenness Gaps at dryness D = 2 (net radiation over precipitation) and greenness NDVI=0.3

Total Area of signif. changes (first / second period): external (70%) and internal (30%)
21% sign. large scale (U,W)-change and area average NDVI-change; (dU, dW) > (std(U), std(W))
70% external (46% in- vs. 24% decreasing NDVI)
30% internal (15.5% increase vs. 14.5% decrease)

Areas of significant (U,W)-changes :

(i) 36.2% (63.8%) of significant NDVI changes show NDVI decrease (increase) (indep. of vegetation type & aridity)

Attribution conditioned joint distributions of NDVI vs NDVI-change: 38.2% decreasing (61.8% increasing) coverage with low (moderate) NDVI; high NDVI-areas slightly reduced.

Water surplus regions: benefit from climate change (vegetation greenness growth)
 Energy surplus regions (Himalayas): ambiguous change attribution as internal
 but heat storage deficit due to increasing vegetation being neglected

The End – 1 Thank You